

PERIODIC DISCLOSURES
FORM NL-12A-INVESTMENT SCHEDULE - SHAREHOLDERS



Royal Sundaram General Insurance Co. Ltd
(Formerly known as Royal Sundaram Alliance Insurance Company Ltd)

30-Sep-18



No.	Particulars	As at 30th Sep 2018	As at 30th Sep 2017
		('000)	('000)
	LONG TERM INVESTMENTS		
1	Government securities and Government guaranteed bonds including Treasury Bills	3,175,339	2,751,103
2	Other Approved Securities	-	-
3	Other Investments		
	(a) Shares	-	-
	(aa) Equity	-	-
	(bb) Preference	-	94
	(b) Mutual Funds	-	-
	(c) Derivative Instruments	-	-
	(d) Debentures/ Bonds	2,453,832	2,493,993
	(e) Other Securities (to be specified)	-	-
	(f) Subsidiaries	-	-
	(g) Investment Properties-Real Estate	-	-
	(h) Fixed Deposits with Banks	-	-
4	Investments in Infrastructure and Social Sector	1,788,836	1,576,140
5	Other than Approved Investments-Alternative Investment Funds	198,313	127,579
	SHORT TERM INVESTMENTS		
1	Government securities and Government guaranteed bonds including Treasury Bills	-	91,612
2	Other Approved Securities	-	-
3	Other Investments		
	(a) Shares	-	-
	(aa) Equity	557,218	533,191
	(bb) Preference	88	-
	(b) Mutual Funds	123,487	259,729
	(c) Derivative Instruments	-	-
	(d) Debentures/ Bonds	1,427,229	679,467
	(e) Other Securities-Certificate of Deposit/CBLO/CP	208,921	426,807
	(f) Subsidiaries	-	-
	(g) Investment Properties-Real Estate	-	-
	(h) Fixed Deposits with Banks	23,356	-
4	Investments in Infrastructure and Social Sector	311,814	159,245
5	Other than Approved Investments	23,866	83,023
	TOTAL	10,292,299	9,181,982

No.	Particulars	As at 30th Sep 2018	As at 30th Sep 2017
		('000)	('000)
	LONG TERM INVESTMENTS		
1	Government securities and Government guaranteed bonds including Treasury Bills	11,575,586	9,335,774
2	Other Approved Securities	-	-
3	Other Investments		
	(a) Shares	-	-
	(aa) Equity	-	-
	(bb) Preference	-	317
	(b) Mutual Funds	-	-
	(c) Derivative Instruments	-	-
	(d) Debentures/ Bonds	8,945,356	8,463,279
	(e) Other Securities (to be specified)	-	-
	(f) Subsidiaries	-	-
	(g) Investment Properties-Real Estate	-	-
	(h) Fixed Deposits with Banks	-	-
4	Investments in Infrastructure and Social Sector	6,521,139	5,348,578
5	Other than Approved Investments-Alternative Investment Funds	722,942	432,935
	SHORT TERM INVESTMENTS		
1	Government securities and Government guaranteed bonds including Treasury Bills	-	310,881
2	Other Approved Securities	-	-
3	Other Investments		
	(a) Shares	-	-
	(aa) Equity	2,031,319	1,809,367
	(bb) Preference	323	-
	(b) Mutual Funds	450,166	881,382
	(c) Derivative Instruments	-	-
	(d) Debentures/ Bonds	5,202,912	2,305,748
	(e) Other Securities-Certificate of Deposit/CBLO/CP	761,613	1,448,355
	(f) Subsidiaries	-	-
	(g) Investment Properties-Real Estate	-	-
	(h) Fixed Deposits with Banks	85,144	-
4	Investments in Infrastructure and Social Sector	1,136,703	540,391
5	Other than Approved Investments	87,004	281,734
	TOTAL	37,520,206	31,158,742

Notes:

- (a) Investments in subsidiary/holding companies, joint ventures and associates shall be separately disclosed, at cost.
 (i) Holding company and subsidiary shall be construed as defined in the Companies Act, 1956:
 (ii) Joint Venture is a contractual arrangement whereby two or more parties undertake an economic activity, which is subject to joint control.
 (i) Joint control - is the contractually agreed sharing of power to govern the financial and operating policies of an economic activity to obtain benefits from it.
 (ii) Associate - is an enterprise in which the company has significant influence and which is neither a subsidiary nor a joint venture of the company.
 (iii) Significant influence (for the purpose of this schedule) - means participation in the financial and operating policy decisions of a company, but not control of those policies. Significant influence may be exercised in several ways, for example, by representation on the board of directors, participation in the policymaking process, material inter-company transactions, interchange of managerial personnel or dependence on technical information. Significant influence may be gained by share ownership, statute or agreement. As regards share ownership, if an investor holds, directly or indirectly through subsidiaries, 20 percent or more of the voting power of the investee, it is presumed that the investor does have significant influence, unless it can be clearly demonstrated that this is not the case. Conversely, if the investor holds, directly or indirectly through subsidiaries, less than 20 percent of the voting power of the investee, it is presumed that the investor does not have significant influence, unless such influence is clearly demonstrated.

- A substantial or majority ownership by another investor does not necessarily preclude an investor from having significant influence.
 (b) Aggregate amount of company's investments other than listed equity securities and derivative instruments and also the market value thereof shall be disclosed.
 (c) Investments made out of Catastrophe reserve should be shown separately.
 (d) Debt securities will be considered as "held to maturity" securities and will be measured at historical cost subject to amortisation.
 (e) Investment Property means a property [land or building or part of a building or both] held to earn rental income or for
 (f) Investments maturing within twelve months from balance sheet date and investments made with the specific